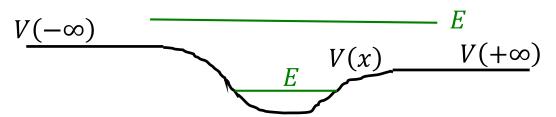
# EE201/MSE207 Lecture 5 Bound and "scattering" (unbound) states



When a particle is limited in space ("bound") and when not ("unbound")? In QM the answer is somewhat similar to the classical case:

If 
$$\begin{cases} E < V(+\infty) \\ E < V(-\infty) \end{cases}$$
 , then bound (localized, cannot go to infinity)

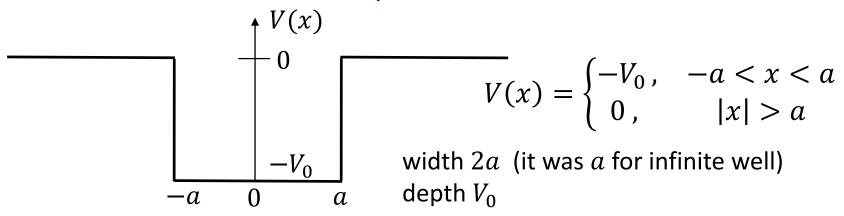
If 
$$E > V(\infty)$$
 or  $E > V(-\infty)$ , then unbound ("scattering"); can be at infinity, free particle there,  $\psi \propto \exp(\pm ikx)$ .

Why called "scattering"? Scattered particles (2D, 3D).

Important: Bound states  $\Rightarrow$  discrete energy spectrum (as for infinite QW and oscillator) Scattering states  $\Rightarrow$  continuous energy spectrum (as for free particle)

We will analyze bound and scattering states in an important for applications example: finite square well

#### Finite square well



Today: Bound states, E < 0  $(E < E(\pm \infty) = 0)$ 

TISE 
$$-\frac{\hbar^2}{2m} \frac{d^2\psi}{dx^2} + V(x)\psi = E\psi$$

$$\begin{array}{c|c}
E & 0 \\
E + V_0 & E < 0 \\
V_0 > 0 \\
E + V_0 > 0
\end{array}$$

Three regions: (1) 
$$x < -a$$
, (2)  $-a < x < a$ , (1) (2) (3)  $x > a$ 

#### Solving TISE in 3 regions

$$-\frac{\hbar^{2}}{2m}\frac{d^{2}\psi}{dx^{2}} + V(x)\psi = E\psi$$

$$(1) \quad x < -a \implies V = 0 \implies \frac{d^{2}\psi}{dx^{2}} = -\frac{2mE}{\hbar^{2}}\psi$$

$$E + V_{0}$$

$$E = 0$$

$$(2) \quad -V_{0}$$

$$E < 0, V_{0} > 0, E + V_{0} > 0$$

$$\psi(x) = A e^{-kx} + B e^{kx}, \quad k = \frac{\sqrt{-2mE}}{\hbar}$$

$$A = 0 \text{ because } \psi(-\infty) = 0$$

(2) 
$$-a < x < a$$
  $\Rightarrow$   $V = -V_0$   $\Rightarrow$  
$$\frac{d^2 \psi}{dx^2} = \frac{2m(V_0 + E)}{\hbar^2} \psi$$

$$\psi(x) = C \sin(lx) + D \cos(lx) \qquad l = \frac{\sqrt{2m(V_0 + E)}}{\hbar}$$

(sin and cos are more convenient for bound states,  $e^{\pm ilx}$  more convenient for scattering states)

(3) 
$$x > a \implies V = 0 \implies \psi(x) = F e^{-kx} + G e^{kx}$$
 (the same  $k$ )
$$G = 0 \text{ because } \psi(+\infty) = 0$$

(1) 
$$x < -a$$
  $\psi(x) = B e^{kx}$ ,  $k = \frac{\sqrt{-2mE}}{\hbar}$  (1)  $E + V_0$  (2)  $-a < x < a$   $\psi(x) = C \sin(lx) + D \cos(lx)$  (3)

(3) 
$$x > a$$
  $\psi(x) = F e^{-kx}$   $l = \sqrt{2m(V_0 + E)}/\hbar$ 

Boundary conditions: 1)  $\psi(x)$  is continuous

2)  $d\psi/dx$  is also continuous

2') actually, in semiconductors condition 2) is different:

$$\frac{1}{m_{eff}} \, \frac{d\psi}{dx}$$
 is continuous (this is not discussed in Griffiths' book)

Follows from continuity of probability current  $\frac{i\hbar}{2m} \left( \psi \frac{d\psi^*}{dx} - \psi^* \frac{d\psi}{dx} \right)$ 

We have 5 equations (4 boundary conditions and normalization) and 5 unknowns (B, C, D, F), and E). Possible to solve, but too many.

Simplification: trick of odd and even functions 
$$f(-x) = f(x) \quad \text{even}$$
 
$$f(-x) = -f(x) \quad \text{odd}$$

# Trick of odd and even functions for even potential, V(-x) = V(x)

In our case V(x) is even

#### **Theorem**

If V(-x) = V(x) and  $\psi(x)$  is a solution of TISE with energy E,  $\widehat{H}\psi = E\psi$ , then  $\psi(-x)$  is also a solution with the same energy,  $\widehat{H}\psi(-x) = E\psi(-x)$ . (simple to prove, and also quite obvious)

Then  $\psi(x) + \psi(-x)$  is also a solution, and  $\psi(x) - \psi(-x)$  is also a solution (because TISE is linear in  $\psi$ ), (not necessarily normalized, but not a problem)

$$\psi(x) + \psi(-x)$$
 is even  $\psi(x) - \psi(-x)$  is odd

(actually, if  $\psi(x)$  is even or odd, then one of the combinations is zero)

Therefore, it is sufficient to find only even and odd solutions of TISE

#### Even solutions for finite square well

$$\psi(x) = \begin{cases} B \exp(kx), & x < -a \\ D \cos(lx), & |x| < a \\ B \exp(-kx), & x > a \end{cases}$$
 (no sin-term) only 3 unknowns: 
$$B, D, E$$

Boundary condition at x = a (b.c. at x = -a gives the same):

$$\begin{cases} B \exp(-ka) = D \cos(la) \\ -kB \exp(-ka) = -lD \sin(la) \end{cases}$$

$$k = l \tan(la)$$

Divide equations:  $k = l \tan(la)$  This equation gives energy E since k(E), l(E)

Rewrite:

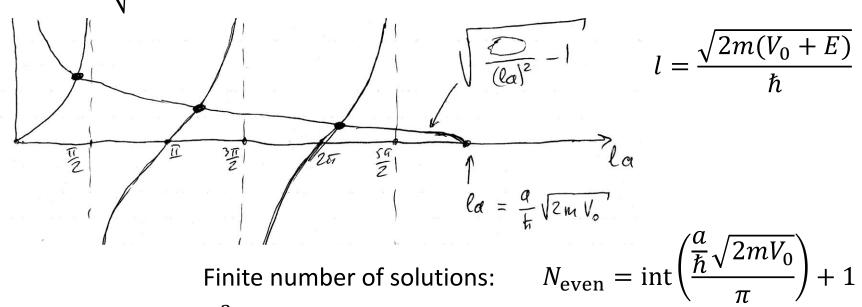
$$\tan(la) = \frac{k}{l} = \frac{\sqrt{-2mE}/\hbar}{\sqrt{2m(V_0 + E)}/\hbar} = \sqrt{\frac{-E}{V_0 + E}} = \sqrt{\frac{V_0}{V_0 + E}} - 1 = \sqrt{\frac{V_0 2m}{l^2 \hbar^2}} - 1$$

$$\tan(la) = \sqrt{\frac{\frac{a^2 V_0 2m}{\hbar^2}}{(la)^2} - 1}$$

$$\tan(la) = \sqrt{\frac{\frac{a^2 V_0 2m}{\hbar^2}}{(la)^2} - 1}$$

### Even solutions for finite square well

Solve graphically



$$l = \frac{\sqrt{2m(V_0 + E)}}{\hbar}$$

$$N_{\text{even}} = \operatorname{int}\left(\frac{\frac{\alpha}{\hbar}\sqrt{2mV_0}}{\pi}\right) + 1$$

Limiting cases 1) 
$$\frac{a^2V_02m}{\hbar^2} \gg 1$$
 (wide, deep well)

Limiting cases 1)  $\frac{a^2V_02m}{\hbar^2}\gg 1$  (wide, deep well) Low levels:  $la\approx (2n+1)\,\pi/2$ ,  $E_n+V_0=\frac{l^2\hbar^2}{2m}\approx \frac{(2n+1)^2\pi^2\hbar^2}{2m(2a)^2}$ 

(similar to infinite well, but only odd states and  $a \rightarrow 2a$ )

2) 
$$\frac{a^2V_02m}{\hbar^2}\ll 1$$
 (shallow, narrow well) Only one level:  $la\approx a\sqrt{2mV_0}/\hbar\ll 1$ ,  $|E|\ll V_0$ 

## Even solutions for finite square well: normalization (not important)

$$\psi(x) = \begin{cases} B \exp(kx), & x < -a \\ D \cos(lx), & |x| < a \\ B \exp(-kx), & x > a \end{cases}$$

#### **Normalization**

$$\int_{-\infty}^{\infty} |\psi(x)|^2 dx = 1 \qquad \Longrightarrow \qquad \begin{cases} B = \frac{\exp(ka)\cos(la)}{\sqrt{a+1/k}} \\ D = \frac{1}{\sqrt{a+1/k}} \end{cases}$$

#### Odd solutions (similar)

$$\psi(x) = \begin{cases} B \exp(kx), & x < -a \\ C \sin(lx), & |x| < a \text{ (no cos-term)} \\ -B \exp(-kx), & x > a \text{ (-}B \text{ since odd)} \end{cases}$$

Boundary condition at x = a:

$$\begin{cases}
-B \exp(-ka) = C \sin(la) \\
kB \exp(-ka) = Cl \cos(la)
\end{cases}$$

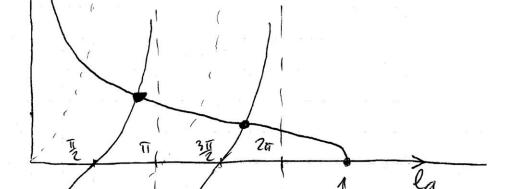
Divide equations:  $k = -l \cot(la)$ 

After some algebra: 
$$-\cot(la) = \sqrt{\frac{\frac{a^2V_02m}{\hbar^2}}{(la)^2}} - 1$$

Similar to the even case, the only difference:  $tan(la) \rightarrow -cot(la)$  (just shifted by  $\pi/2$ )

$$-\cot(la) = \sqrt{\frac{\frac{a^2V_02m}{\hbar^2}}{(la)^2} - 1}$$

#### Odd solutions for finite square well



Number of solutions:

$$N_{\text{odd}} = \operatorname{int}\left(\frac{\frac{a}{\hbar}\sqrt{2mV_0}}{\pi} + \frac{1}{2}\right)$$

Total number of solutions:

$$N_{\text{even}} + N_{\text{odd}} = \operatorname{int}\left(\frac{\frac{a}{\hbar}\sqrt{2mV_0}}{\pi/2}\right) + 1$$

Total number of solutions: 
$$l_a = \frac{a}{\hbar} \sqrt{2mV_0}$$
  $N_{\rm even} + N_{\rm odd} = {\rm int} \left(\frac{\frac{a}{\hbar}\sqrt{2mV_0}}{\pi/2}\right) + 1$  Limiting cases 1) 
$$\frac{a^2V_02m}{\hbar^2} \gg 1 \quad \text{(wide, deep well)}$$
 Low levels:  $la \approx n\pi$ ,  $E_n + V_0 = \frac{l^2\hbar^2}{2m} \approx \frac{(2n)^2\pi^2\hbar^2}{2m(2a)^2}$ 

(these are remaining solutions for infinite well with  $a \rightarrow 2a$ )

No odd solutions if  $V_0 < \frac{\pi^2 \hbar^2}{\Omega m c^2}$ 2) Shallow, narrow well

### Digression: some integrals

$$\int_{-\infty}^{\infty} e^{-ax^2} dx = \sqrt{\frac{\pi}{a}}$$

(easy to derive by squaring and considering as a double-integral; also from normalization of a

Gaussian: 
$$\int_{-\infty}^{\infty} \frac{1}{\sqrt{2\pi D}} e^{-x^2/2D} dx = 1.$$

Take derivative in respect to parameter a

$$\int_{-\infty}^{\infty} (-x^2) e^{-ax^2} dx = -\frac{\sqrt{\pi}}{2a^{3/2}} \qquad \Rightarrow \qquad \int_{-\infty}^{\infty} x^2 e^{-ax^2} dx = \frac{\sqrt{\pi}}{2a^{3/2}}$$

Take another derivative with respect to a, similarly:

$$\int_{-\infty}^{\infty} x^4 e^{-ax^2} dx = \frac{3\sqrt{\pi}}{4a^{5/2}}$$
 (and so on:  $x^6$ ,  $x^8$ , etc.)

Can construct a similar series, starting with

$$\int_0^\infty x \, e^{-ax^2} dx = \frac{1}{2a}$$